

Available online at www.sciencedirect.com



Polymer 46 (2005) 4405-4420

polymer

www.elsevier.com/locate/polymer

# Deformation-morphology correlations in electrically conductive carbon nanotube—thermoplastic polyurethane nanocomposites

Hilmar Koerner<sup>a</sup>, Weidong Liu<sup>a</sup>, Max Alexander<sup>b</sup>, Peter Mirau<sup>b</sup>, Heather Dowty<sup>b</sup>, Richard A. Vaia<sup>b,\*</sup>

<sup>a</sup>University of Dayton Research Institute, Dayton OH 45469, USA <sup>b</sup>Air Force Research Laboratory (AFRL/MLBP), Wright-Patterson Air Force Base, OH 45433, USA

Available online 17 March 2005

#### Abstract

Addition of small amounts (0.5–10 vol%) of multiwall carbon nanotubes (CNT) to thermoplastic elastomer Morthane produced polymer nanocomposites with high electrical conductivity ( $\sigma \sim 1-10$  S/cm), low electrical percolation ( $\phi \sim 0.005$ ) and enhancement of mechanical properties including increased modulus and yield stress without loss of the ability to stretch the elastomer above 1000% before rupture. In situ X-ray scattering during deformation indicated that these mechanical enhancements arise not only from the CNTs, but also from their impact on soft-segment crystallization. The deformation behavior after yielding of the nanocomposites, irrespective of CNT concentration, is similar to the unfilled elastomer, implying that the mechanistics of large deformation is mainly governed by the matrix. The relative enhancement of the Young's modulus of the nanocomposites is comparable to other elastomeric nanocomposites, implying that to the first order specific chemical details of the elastomeric system is unimportant.

© 2005 Published by Elsevier Ltd.

Keywords: Nanocomposites; Elastomer; Multiwall carbon nanotube

# 1. Introduction

Elastomers with high electrical conductivity are critical for applications ranging from seals between pipes used for transferring flammable gases, electrostatic automotive painting and electromagnetic shielding for mobile electronics. Traditionally, conductive fillers, such as carbon black, chopped carbon fiber or metallic flakes are used. Conductivity is established by percolative network formation of the fillers and limited by carrier transport (hopping or tunneling) between filler particles. Thus the extent of filler dispersion, aspect ratio of the filler, and wettability of the filler by the elastomeric medium are key morphological characteristics in determining the conductivity of the system. The relatively large volume fractions (>20 vol%) necessary though, negatively impact deformability, processibility, surface finish, and limit the ability to maintain desired conductivity at extreme deformations

(>100%). Furthermore, the use of metallic fillers results in galvanic corrosion issues in many service environments.

The broad availability of nanoscale multiwall carbon nanotubes with large aspect ratios (>100) and high electrical conductivity ( $\sigma \sim 18,000$  S/cm along tube axis) have lead to a resurgence of applied and fundamental investigations of filled polymers, driven by the potential to address limitations of classic conductive fillers. Whether tubes (e.g. single and multiwall carbon nanotubes) or plates (e.g. exfoliated graphite), the nanoscopic dimensions and high aspect ratios inherent in these polymer nanocomposites result in six interrelated characteristics distinguishing them from classic fillers: (1) low percolation threshold ( $\sim 0.1$ – 2 vol%); (2) particle-particle correlation (orientation and position) arising at low volume fractions ( $\phi_{\rm C} < 10^{-3}$ ); (3) large number density of particles per particle volume  $(10^6 10^8$  particles/ $\mu$ m<sup>3</sup>); (4) extensive interfacial area per volume of particles  $(10^3 - 10^4 \text{ m}^2/\text{ml})$ ; (5) short interparticle spacing (10–50 nm at  $\phi \sim 1-8$  vol%); and (6) comparable size scales between the rigid nanoparticle inclusion, distance between particles, and the relaxation volume of the polymer matrix. These characteristics provide materials with properties of traditional filled systems, but at lower loadings and thus enhanced processibility. Additionally, novel properties

<sup>\*</sup> Corresponding author. Tel.: +1 937 255 9184; fax: +1 937 255 9157. *E-mail address:* richard.vaia@afrl.af.mil (R.A. Vaia).

absent in traditional filled systems are reported. Recent reviews of materials fabrication and fundamental structureproperty correlations can be found in the literature [1]. Much of the current effort in polymer nanocomposites though is plagued by uncertainties as to what is possible, necessitating substantially more detailed structure-processing-property investigations.

Carbon nanotube filled plastics are currently under intensive investigation for enhancement of structural [2,3], stress-recovery [4], electrical [5,6] and thermal performance [7,8], while maintaining the inherent processibility and deformability of the matrix resin. Optimization of electrical characteristics presents an interesting dilemma. Maximum dispersion of the nanotubes is desired to provide the lowest percolation threshold; however, this necessitates favorable polymer-tube interactions, which will result in a strongly bound polymer interfacial layer. Conversely, this will establish a minimum tube-tube distance, which ultimately determines electrical conductivity by limiting carrier transport and creating capacitive contacts between tubes. In addition, for conductive elastomers maximization of deformability implies minimizing the strength of tubepolymer interactions. One possibility to address these conflicting requirements is to utilize a nanotube with a heterogeneous surface, which spatially segregates strong tube-tube interactions favoring aggregation from maximum tube-polymer interactions favoring dispersion.

Following these suppositions, aspects of the deformation-morphology correlation of an electrically conductive, elastomeric nanocomposite are presented. Uniaxial elongation in combination with in situ X-ray studies indicate that nanotube orientation and polymer deformation are coupled, altering the strain induced soft-segment crystallinity and the mechanical response of the polyurethane at increasing strain. The complex interrelation implies that the extensive characterization discussed herein is necessary, but still not sufficient to effectively establish deformationmorphology relationships for nanotube containing elastomers.

## 2. Experimental

#### 2.1. Materials and specimen preparation

The fabrication of the carbon nanotubes (CNT) elastomer nanocomposite (CNT/PU) is discussed elsewhere [4]. In brief, after short, light grinding of the carbon nanotubes (PRT-HT-19, Applied Science Inc.) with a mortar and pestle, they are combined with a small amount of polymer (Morthane PS455-203, Huntsman Polyurethanes, aromatic polyester based thermoplastic polyurethane) in a polar medium, such as THF, for several hours [9]. The composition of Morthane was evaluated by quantitative <sup>13</sup>C and <sup>1</sup>H NMR. The peaks were assigned in the proton spectra using 2D NMR methods (COSY and TOCSY) and in the carbon spectrum using DEPT [10]. Table 1 together with Scheme 1 lists the different moieties present and their compositions. The quantitative analysis shows that the Morthane contains only 10% hard-segments and almost exclusively linear diols with no more than 5% cyclic diols.

This mixture is then added to a polymer solution in the same solvent, stirred for an additional 2-4 h, and transferred into casting molds to allow slow solvent evaporation. The samples were then dried in a vacuum oven at 50 °C until a constant weight was achieved. Nanotube-elastomer nanocomposites may be produced in this manner whose lateral size approaches several feet, with thickness from 0.5 to 2 mm, and volume fractions of nanotubes from 0.05 to 15% (density of CNT ~2.1 g cm<sup>-3</sup>, Morthane ~1.19 g cm<sup>-3</sup>). Scanning electron micrographs of freeze fractured surfaces are shown in Fig. 1 for 5 and 10 wt% (2.9 and 5.9 vol%) nanocomposite, showing excellent dispersion and wetting of the nanotubes in and by the Morthane. Agglomerates formed by about 2-5% of the total CNTs within the nanocomposite are visible only at higher concentrations  $(\sim 14 \text{ wt\%}).$ 

Further verifying the excellent dispersion of the CNTs in the Morthane, Fig. 2 summarizes the room temperature, DC conductivity ( $\sigma$ ) of un-deformed samples measured by a standard 4-line probe test technique, with four gold line electrodes at a spacing of 2.54 mm. Comparison of the data to a simplified bond percolation model [11] ( $\sigma = \sigma_0 |\phi - \phi_c|^t$ for  $\phi > \phi_c$  indicates that the percolation threshold is  $\phi_c =$ 0.005; the power law exponent, t=3.1; and the limiting conductivity at  $\phi = 1$ ,  $\sigma_0 = 6.3$  kS/cm. A weighted nonlinear least squares fit, shown in the inset of Fig. 2, was found by applying different  $\phi_c$ . The fitted value for the power law exponent deviates substantially from the theoretical universal scaling value t=2, but is in excellent agreement with the theoretical mean-field value t=3[12,13]. The conductivity scale factor  $\sigma_0$  reflects the resistive nature of charge transfer between tubes in the blend, yielding a conductivity substantially less than determined for a pure CNT (18 kS/cm, measured by ASI on a single fiber) [14]. The low volume fraction at percolation is consistent with dispersed high-aspect ratio rods. Details of the electrical conductivity-morphology-deformation relationship will be discussed in future publications.

Note, that since the as-received powder is a weak aggregate of the carbon nanotubes, accessibility of the

Table 1
Morthane composition

Monomer	Carbon	Percentage
a: Urethane	-CO-NH-	9.9%
c: Dicarboxylic Acid	-COO-	31.8%
c: Diol	-CH2-O-	58.2%

The fraction of urethane (a: hard-segments), dicarboxylic acid and diols (b, c: soft-segments) are calculated from the intensities of the peaks at 153, 172 and 63 ppm from the  $C^{13}$  spectrum.



Scheme 1. Composition of Morthane. Hard-segments consist of the urethane moiety a; soft-segments consist of the moieties b and c.

solution to the nanotube surface is relatively facile. This contrasts the relatively small fraction of accessible nanoparticle surface found in nanoparticles that form lowdimensional crystallites. This includes single wall carbon nanotubes, which occur in ropes [15], and layered silicates (clays), which occur in tactoids [16]. The minimal nanoparticle surface area accessible by bulk solutions in these cases implies that comparable solution mixing procedures without surface initiated chemistries, such as used here in, are not nominally successful in forming welldispersed nanocomposites starting from nanounits arranged in low-dimensional crystallites.

## 2.2. Characterization

Mechanical evaluation was conducted on a Tinius Olson H10KS bench top tensile tester at a strain rate of 6 mm/min. A stretching force was applied to one pneumatic clamp. Clamp displacement was used to determine elongation ratio,  $\lambda = L/L_0$ , and engineering strain  $\varepsilon = \lambda - 1$ . Engineering stress was calculated from the initial cross-sectional area of the sample.

Young's moduli *E* were determined from the initial slope at  $\lambda = 1.025$  (least squares fit,  $\varepsilon = 2.5\%$ ). Apparent



Fig. 2. DC bulk conductivity,  $\sigma_{DC}$ , of CNT/PU with increasing volume fraction  $\phi$  of CNTs. Solid line is a fit to the data according to statistical percolation theory [13].

elongation and stress at yield  $(\lambda_Y, \sigma_Y)$  were obtained from the intercept of the linear region below and above the yield point. The onset of strain hardening  $(\lambda_H, \sigma_H)$  was extrapolated from the intercept of the two linear ranges in the stress/strain data after the yield point. Three to five samples per mechanical measurement were used, with estimated error/uncertainty not exceeding 10% in the data. The data from three batches of CNT/PUs with difference in shelf life and thermal history are presented in the comparison charts of mechanical properties.

Crystalline fraction and melting temperatures of samples were obtained using a TA Instruments DSC Q1000.

Deformation-morphology correlations were determined using synchrotron X-ray experiments, conducted at X27C, National Synchrotron Light Source in Brookhaven National Laboratory. Sample to detector distance was 164 mm and the wavelength was 0.1366 nm, defined by a double multilayer monochromator. The synchrotron X-rays were collimated to a 600 µm beam size using a three-pinhole



Fig. 1. Low magnification Scanning Electron micrographs of a freeze fractured surface of CNT/PU nanocomposites (a: 10 wt% (5.9 vol%) CNT/PU composite; b: 5 wt% (2.9 vol%) CNT/PU composite), showing uniformity of CNT dispersion (fine white lines and features).

collimator [17].  $40 \times 4 \times 0.5$  mm<sup>3</sup> samples were mounted in a uniaxial, symmetrical extension device designed to expose the gauge section of the sample to the X-ray beam at arbitrary elongations. The samples were stretched stepwise to increasing elongations and their X-ray diffraction patterns obtained at each elongation after 2 min of relaxation. WAXD images were obtained using a Mar CCD detector.

#### 2.3. X-ray data analysis

The X-ray data was initially corrected for background scattering, detector sensitivity and variation of incident X-ray flux.

Azimuthally averaged intensity versus  $2\Theta$  were obtained using the software package Fit2D [18]. Deconvolution of the crystalline peaks and the broad amorphous halo from the integral averaged 2-theta scans was carried out using a routine in Genplot<sup>®</sup> with a combination of Gaussian (amorphous) and Lorentzian (crystalline) peak profiles.

The polymer crystallinity index and Hermans orientation parameter of the CNTs were determined from in situ X-ray scattering.

An internal method was applied to determine the polymer crystallinity index as described in Alexander [19]. The crystalline and amorphous phases give distinct X-ray diffraction patterns. After removal of background scattering, the diffraction intensity is, to a good approximation, the superposition of the diffraction intensity from the crystalline,  $I_c$ , and amorphous phases,  $I_a$  each being proportional to the relative volume fraction of the respective phases (assuming random distribution of the phases in the scattering plane). The fraction crystallinity, or crystalline index, is then:

$$CI = \psi = \frac{I_c}{(I_c + I_a)}$$
(1)

It is important to note that the percent of crystallinity of a given sample determined by different techniques may be different [19] and we therefore refer to that obtained from X-ray diffraction as the *crystallinity index*.

A characteristic feature of X-ray patterns from a uniaxial oriented sample is the presence of arcs. The azimuthal width of the arcs provides information about the degree of the orientation of the respective scattering planes. The relative intensity along the azimuth,  $(I(\phi))$  at a 2 $\Theta$  is related to the orientation distribution function (ODF) of the scattering planes. Approximating the ODF as a Legendre polynomial series in  $\cos \phi$ , the Hermans orientation parameter  $S_d$  (or equivalently  $P_2$ ) is the second moment average of the ODF and expressed as:

$$S_{\rm d} = \frac{3\langle \cos^2 \varphi \rangle - 1}{2} \tag{2}$$

For uniaxial orientation,  $S_d$  assumes values from -0.5 to 1. -0.5 reflects perfect alignment in the plane

perpendicular to the macroscopic elongation; 0 reflects random orientation; and 1 reflects perfect alignment along the uniaxial elongation. Herein, the orientation parameter of the carbon nanotubes, crystalline polymer and amorphous polymer represents the relative relation between the direction of uniaxial deformation and the axis of the carbon nanotube; the normal to the polymer crystallite surface and largest dimension of the distorted amorphous polymer chain; respectively. The assumed correspondence to the X-ray reflections analyzed are: the normal to the graphene plane in the tube side wall is on average perpendicular to the tube axis; the plane containing the crystalline soft-segments are perpendicular to the crystallite surface; and the variation of azimuthal intensity of the amorphous scattering reflects increased chain-chain correlation within planes parallel to the largest component of the ellipsoid reflecting the distorted random coil configuration. In all cases, the azimuthal intensity enhancement is orthogonal to the increase in the orientation distribution function discussed. Orientation parameters reported are the average of the orientation parameter determined from each quadrant. The four values differed by 10% at the most, establishing the uncertainty range for these measurements.

## 3. Results

Polyurethanes have been used in a wide range of applications such as automobile, paint, furniture and textile industries. Although polymer composition varies with different products, a urethane linkage covalently bonds 'hard' and 'soft' segments into a multi-block copolymer. The two-phase morphology provides the key to controlling performance and versatility in tuning properties by varying the composition or content of one or the other phase [20]. The general assumption is that hard-segments break at low deformations because of local stresses. In addition to nanofibril formation, hard-segments rotate parallel to the stress direction at higher elongations and the soft-segments will undergo stress-induced crystallization [21].

The nanocomposite discussed herein is comprised of a thermoplastic polyurethane elastomer (Morthane PS455-203, Huntsman Polyurethanes) with carbon nanotubes (CNT: PRT-HT-19, Applied Science Inc.). Morthane exhibits a low glass transition temperature ( $T_{\rm g} = -45$  °C), near-ambient melting of soft-segment crystallites (90% softsegment content according to NMR, with  $T_{\rm m.s}$  = 48 °C), outstanding solvent resistance and displays extreme deformation ( $\sim$ 700%) and strain-induced crystallization. PRT-HT-19 are vapor phase produced carbon nanotubes (nanofibers), approximately 50-120 nm in diameter and greater than 10 µm in length. A fraction of the tubes contain graphene sidewalls, which are slightly askew from the tube axis resulting in so-called 'bamboo' tube architecture with random terminal graphene-sheet edges that leads to a chemically heterogeneous surface. Additionally, this architecture results in a relatively more flexible tube compared to multi and single wall carbon nanotubes whose walls are comprised of continuous graphene sheets.

After discussing the uniaxial elongation of the CNT/PU nanocomposites, morphology changes occurring during comparable deformation will be presented.

## 3.1. Mechanical characteristics

To initially visualize the impact of CNT loading on the mechanical characteristics of Morthane, Fig. 3 depict the stress-strain behavior up to 135% elongation and to failure of CNT/PU elastomers with CNT concentrations between 0.1 and 16.7 wt% (0.057–10.2 vol%). Fig. 4 summarize the initial modulus (*E*); engineering stress ( $\sigma_{\rm Y}$ ) and elongation ( $\lambda_{\rm Y}$ ) at the apparent yield; engineering stress ( $\sigma_{\rm H}$ ) and elongation ( $\lambda_{\rm H}$ ) at the onset of strain hardening; and the elongation at rupture ( $\lambda_{\rm R}$ ). Note, that since the experimental temperature (27 °C) is intermediate between the glass



Fig. 3. a) Engineering stress - deformation behavior for various CNT/PU nanocomposites a) up to  $\lambda$ =2.35 and b) to failure. Increasing volume fraction of CNT is 0, 0.57, 2.9, 5.9 and 10.2%.  $\sigma_{\rm Y}$ , $\lambda_{\rm Y}$  is defined as onset of yield,  $\sigma_{\rm H}$ , $\lambda_{\rm H}$  is defined as onset of strain hardening and  $\sigma_{\rm R}$ , $\lambda_{\rm R}$  is the rupture point. See Section 2 for details with respect to data analysis.

transition  $(-45 \,^{\circ}\text{C})$  and melting point of the soft-segment crystallites (50 °C), attention to the impact of physical aging is critical to ensure comparability of experimental results. Overall, the absolute values of the moduli and yield strength were very dependent on the process history (solvent removal rate), thermal history and initial orientation of the CNT. CNT/PU nanocomposites may exhibit differences of modulus up to 100%; the lowest resulting from plasticization by residual solvent and the highest associated with increased soft-segment crystalline content after sample storage for 30 days as evaluated by DSC control experiments. These experiments also indicated that hardsegment crystallinity was much less sensitive to process history (hard-segment content only 10% according to NMR). For reproducibility, all samples discussed in Fig. 4 were prepared directly before the experiments to ensure comparable process history.

Overall, the addition of the CNTs increases the modulus and strength of the Morthane without sacrificing deformability. The initial modulus, E, and engineering stress at the apparent yield point,  $\sigma_{\rm Y}$ , increases roughly monotonically with CNT loading. The elongation at the apparent yield,  $\lambda_{\rm Y}$ , decreases precipitously between 2 and 5 wt% (1.14 and 2.9 vol%) CNT and is approximately invariant at higher loadings. Although this transition occurs at loadings greater than electrical percolation, this behavior may reflect the failure of a reinforcing network of CNT and polymer crystallites, which occurs at higher loadings. This volume fraction of CNT also corresponds approximately to a rapid increase in the initial soft-segment crystallinity (see below). Continuing on, the onset of strain hardening,  $\lambda_{\rm H}$ , decreases with CNT loading after an initial increase with respect to the neat resin. The stress,  $\sigma_{\rm H}$ , correspondingly increases. Finally, the elongation at rupture decreases slightly with CNT loading; however even at 17 wt% (10.2 vol%) CNT, still results in a thermoplastic elastomer with 1200% elongation before failure.

As noted, the mechanical characteristics of pure thermoplastic elastomers depend on the soft-segment crystalline content (see details on Morthane composition in Table 1 and Scheme 1). Fig. 5 summarizes the initial soft- and hardsegment crystalline content of the solvent cast films as determined by DSC. For low addition of CNT, the initial soft-segment crystalline content increases substantially, where as the CNTs have minimal impact on the hardsegment crystalline content. Thus, the reinforcement provided by CNTs to the solution cast Morthane films is not solely responsible for the improved mechanical characteristics, but its impact on crystallite formation must also be considered in a manner similar to other semicrystalline polymer nanocomposites [22]. The increase in softsegment crystallites may arise from a reduction in the activation energy to form a critical nucleus at the CNTpolymer interface (heterogeneous nucleation) or local regions of enhanced internal strain arising from mechanical percolation of the tubes and accommodation of the rigid



Fig. 4. Mechanical characteristics of the CNT/PU nanocomposites: (a) Initial Young's moduli, *E*; (b) stress and elongation at yield ( $\sigma_{\rm Y}, \lambda_{\rm Y}$ ); (c) stress and elongation at hardening ( $\sigma_{\rm H}, \lambda_{\rm H}$ ); (d) stress and elongation at rupture ( $\sigma_{\rm R}, \lambda_{\rm R}$ ). Lines express qualitative data trends. Open circles are stress ( $\sigma$ ) data and filled squares are elongation ( $\lambda$ ) values.

tube inclusions during the drying process and associated volume reduction. Second DSC scans after soft-segment melting ( $T_{\text{max}} = 135 \text{ °C}$ ,  $\Delta T = -4 \text{ °C/min}$ ,  $T_{\text{start}} = -70 \text{ °C}$ ) do not indicate an increase in soft-segment crystallite content with increasing CNT content after these initial soft segment crystallites are melted. This initially implies that the increase in crystalline fraction with CNT content probably arises from inhomogeneous strain distribution created during solvent removal.

The enhancement of crystallite content during solvent casting parallels the impact of nano-filler addition on isothermal and non-isothermal polymer crystallization. In general, the extreme interfacial area and particle number density in nanocomposite systems alters bulk polymer crystallization processes. For example, increased crystallinity and enhanced crystallization rates arising from the increased nucleation density afforded by the presence of functionalized single wall carbon nanotubes in polypropylene nanocomposites have been reported [23,24]. Comparable effects are routinely reported in semi-crystalline polymer layered silicate nanocomposites [25]. However, the role of dissimilar volume change between polymer and nanofiller, either arising from different thermal expansivity or solvent removal, are seldom discussed or specifically examined.

## 3.2. Deformation-morphology relation

Understanding the structural changes during deformation is necessary to unravel the various factors underlying the



Fig. 5. Melting enthalpy via DSC of soft-segments ( $T_{\rm ms}$ =48 °C, filled squares) and hard-segments ( $T_{\rm mh}$ =135 °C, open circles) within undeformed CNT/PU nanocomposites.

mechanical characteristics and conductivity—strain relationship of these thermoplastic elastomer nanocomposites. A typical stress-elongation profile corresponding to the in situ deformation—X-ray scattering experiments is shown in Fig. 6 for a 1 wt% (0.57 vol%) filled polyurethane. The sample was strained, held at the constant deformation for 2 min and then the X-ray scattering data collected. After data collection, the sample elongation was increased and the procedure repeated. Since elastomers recover stress when constrained at a constant deformation, the protocol provided sufficient time for the sample to reach a steady state for data collection. Therefore, the X-ray data presented does not reflect the morphology during deformation at a constant strain rate but at the relaxed state for a given deformation—



Fig. 6. Comparison of engineering stress-elongation behavior for a 1 wt% (0.56 vol%) CNT nanocomposite continuously deformed and quasistatically deformed during the X-ray scattering experiments with a relaxation time at a set deformation of  $\sim 2$  min.

the stress may decrease up to 50% compared to a continuous deformation. Although not precisely correlated to the uniaxial deformation discussed above, these experiments provide lower bounds for the orientation distribution of the CNTs and crystallites as well as extent crystallinity and deviation from incompressibility.

Representative X-ray diffraction data (1 wt% (0.57 vol%) CNT/PU) with increased elongation is shown in Fig. 7a). The azimuthally averaged scattering data is summarized in Fig. 7b). The total scattering intensity decreases and the sample transmittance increases with elongation due to the Poisson effect, which results in decreased sample thickness with increased deformation. In the wide-angle region, diffraction from the amorphous polymer (0.446 nm,  $2\theta_{\lambda=0.1366 \text{ nm}} = 17.6^{\circ}$ ), crystalline polyurethane soft-segments (0.413 nm,  $2\theta_{\lambda=0.1366 \text{ nm}}=19.0^{\circ}$ ) and the graphene plane in the CNT sidewall (0.338 nm,  $2\theta_{\lambda=0.1366 \text{ nm}}=23.3^{\circ}$ ) are visible. Note that hard-segment crystallization does not seem to contribute to the X-ray pattern. With increased deformation (Fig. 7a: left to right, top to bottom) the intensity increases along the equator (perpendicular to the vertical stretching direction), reflecting alignment of the CNTs parallel to, and soft-segment crystallites normal to the deformation direction. With increased elongation the broad scattering maximum at 0.446 nm from the amorphous polymer gives way to a narrow reflection at 0.413 nm, indicating increased crystallinity (Fig. 7b). The experimental setup precluded resolution of features smaller than 80 Å, such as crystal lamellae.

#### 3.2.1. Incompressibility

For constant volume deformation, the product of the elongation ratios in the three axial directions is unity  $(\lambda_1\lambda_2\lambda_3=1)$ . Assuming the deformation is cylindrically symmetric, then normal to the deformation,  $\lambda_2 = \lambda_3 < 1$ ; and parallel to the deformation,  $\lambda = \lambda_1 > 1$ . The constant volume equality then yields  $\lambda_2 = \lambda_3 = \lambda^{-1/2}$ . Since the scattered intensity is related to the number of scatters in the volume of sample exposed to the beam (the product of the beam area and sample thickness), incompressibility and a constant volume fraction of scattering units within the beam area implies:

$$\lambda = \left(\frac{I(2\theta)|_{\lambda}}{I(2\theta)|_{\lambda=1}}\right)^{-2} \tag{3}$$

where  $I(2\theta)|_{\lambda}$  is the diffraction intensity at  $2\theta$  and  $\lambda$  is the elongation ratio. Note that cylindrical symmetry perpendicular to the beam direction implies that on average, increase orientation along the uniaxial axis will not preferentially reduce the volume fraction of scattering units intersecting Ewalds sphere. A greater decrease in the intensity ratio than expressed in Eq. (3) implies sample thinning is not as rapid as elongation—indicating deviation from incompressibility conditions by an increase in volume or by localized deformation within the beam area (diameter



Fig. 7. a) Composite image of X-ray patterns for elongation of 1 wt% (0.56 vol%) CNT nanocomposite. Deformation direction is vertical. Elongation increases from left to right, top to bottom. b) Azimuthally averaged data from the 2-dimensional detector for 10 wt% (5.9 vol%) CNT nanocomposite. Elongation leads to thinning of the sample and drop in intensity.

of 600  $\mu$ m herein). Assuming that the concentration of carbon nanotubes per volume does not change over the course of the experiment and the contribution from amorphous and crystalline polymer scattering to the peak intensity of the graphene reflection is negligible, the change in intensity of the diffraction peak at 0.338 nm reflects the change in sample thickness without need to consider density change of, or void formation within, the polymer.

Fig. 8 compares the relative intensity change for the background scattering derived from transmission data of neat Morthane to that of the CNT reflection (0.338 nm,  $2\theta_{\lambda=0.1366 \text{ nm}}=23.3^{\circ}$ ) for 10 wt% (5.9 vol%) CNT nanocomposite. Following Eq. (3), constant volume deformation (incompressibility) will exhibit a slope of 1 (solid line). Both neat Morthane and the nanocomposite deviate from this trend around  $\lambda=2.5$  ( $\varepsilon \sim 150\%$ ), which corresponds approximately to the onset of strain hardening (Figs. 3 and 4). SEM micrographs of CNT nanocomposites after extreme



Fig. 8. Incompressibility analysis for 0% and 10 wt% (5.9 vol%) CNT/PU nanocomposites determined from X-ray experiments.

deformations (500%) show the presence of voids around the tubes (Fig. 9).

#### 3.2.2. Strain-induced crystallinity

Deconvolution of the azimuthally averaged profiles (e.g. Fig. 7b) enables calculation of the crystallinity index (CI, see Section 2 for details). The distribution of the straininduced crystallites is assumed to be uniaxial (demonstrated experimentally by sample rotation). Fig. 10 shows a deconvolution of scattering from a 10 wt% (5.9 vol%) CNT/PU sample that was stretched to  $\lambda = 3$  ( $\varepsilon = 200\%$ ). In addition to the dominating features ascribed to the amorphous polymer, soft-segment crystallites and CNTs, an additional weak, broad reflection at  $2\theta_{\lambda=0.1366}$  nm = 21° corresponds to the superposition of weak, unresolved reflections from the polymer crystallites.

The crystalline index (CI) for Morthane containing 0, 1, and 10 wt% (0, 0.57, and 5.9 vol%) CNTs at increasing deformation is summarized in Fig. 11. Note that CI derived from X-ray experiments and melting enthalpy from DSC are generally not quantitatively the same [19] but are both



Fig. 9. Scanning Electron micrograph showing void formation around and between CNTs within a 10 wt% (5.9 vol%) CNT/PU nanocomposite after deformation to  $\lambda$ =5 and subsequent relaxation.



Fig. 10. Example deconvolution of azimuthally averaged data from a 10 wt% (5.9 vol%) CNT/PU nanocomposite at  $\lambda = 3$ . Data (solid triangles) is compared to the superposition (solid line) of (a) amorphous polymer, (b) and (c) crystalline polymer and (d) carbon nanotube reflections (dashed lines).

proportional to the crystalline content. Also note that while soft-segment crystalline content increases with deformation, the extent of chain–chain correlation within the softsegment crystallites, as reflected by the full-width-at-halfmaximum of the crystalline reflection, is relatively constant with deformation and CNT content.

The increase in crystallinity for pure Morthane at  $\lambda = 1.2$ ( $\varepsilon \sim 20\%$ ) corresponds approximately to the onset of the yield point in Fig. 4. The crystalline index increases monotonically with additional deformation. For the nanocomposites, the initial soft-segment crystallinity increases with CNT content—qualitatively similar to the DSC data (Fig. 5). The crystalline index begins to increase at lower deformations for higher CNT content (corresponding to



Fig. 11. Crystallinity index determined from X-ray experiments for CNT/PU nanocomposites. Vertical lines correspond to the approximate onset of yield point strain hardening depicted in Figs. 3 and 4 (see definitions of terms in Section 2).

lower yield point at  $\lambda = 1.05$  in Fig. 4), but with approximately the same dependence on deformation as exhibited by the pure Morthane. The crystalline index plateaus or increases slightly with elongation above  $\lambda = 2.5$ (150%), which matches the onset of strain hardening at  $\lambda =$ 2.25–2.5 in Fig. 4c and deviations from incompressibility in Fig. 8. The decreased sensitivity of the strain-induced crystallization occurs after the onset of strain hardening. Overall, CNTs initially enhance the crystallinity in these quasi-static deformation experiments; however, disrupt the crystallization at higher elongations in a yet to be determined manner.

#### 3.2.3. Constituent orientation

Hermans orientation parameters ( $S_d$ ) for the various constituents as deformation increases are summarized in Figs. 12 and 13 (see Section 2 for details).  $S_d$  was determined from the azimuthal intensity distribution at 0.338 nm ( $2\theta_{\lambda=0.1366 \text{ nm}}=23.3^{\circ}$ ), 0.446 nm ( $2\theta_{\lambda=0.1366 \text{ nm}}=17.6^{\circ}$ ) and 0.413 nm ( $2\theta_{\lambda=0.1366 \text{ nm}}=19.0$ ) for CNTs, soft-segment crystallites and amorphous polymer



Fig. 12. (a) Orientation parameter  $S_d$ , for polyurethane soft-segments (0.413 nm,  $2\theta_{\lambda=0.1366 \text{ nm}}=19.0^{\circ}$ ), and (b) amorphous phase (0.446 nm,  $2\theta_{\lambda=0.1366 \text{ nm}}=17.6^{\circ}$ ), determined from X-ray diffraction.



Fig. 13. Orientation parameter  $S_d$  for carbon nanotubes (0.338 nm,  $2\theta_{\lambda=0.1366 \text{ nm}} = 23.3^{\circ}$ ). Upper curve represents the kinematic description of the orientation-deformation correlation of independent rods. Dotted line represents the fit to the data by Eq. (5) with a=0.61; b=0.27.

chains, respectively. Orientation parameters evaluated from the small-angle streak ( $2\theta = 1.5^{\circ}$ ) caused by aligned carbon nanotubes reflects a similar trend as the CNT WAXS data. Note that since the crystalline and amorphous reflections overlap and the intensity of the crystalline reflection increases with deformation (Fig. 10), the degree of orientation, especially at  $2\theta_{\lambda=0.1366 \text{ nm}} = 17.6^{\circ}$ , is approximate, reflecting a complex superposition of amorphous and crystalline regions.

In general, the change in orientation of polymer regions qualitatively mirrors the changes in the soft-segment crystalline content. The alignment of both crystalline and amorphous regions is more sensitive to deformation in the presence of the nanotubes, with only minimal differences between 1 and 10 wt% CNT. The onset of orientation roughly corresponds to the beginning of strain hardening. At extreme deformation ( $\lambda > 3.5$ ,  $\varepsilon > 250\%$ ), systematic relationships are not obvious at this point.

For the CNTs, alignment occurs most readily at the low deformations and around  $\lambda \sim 3-4$  becomes six times less sensitive. The extent of alignment and trend with increasing  $\lambda$  is the same for both 1 and 10 wt%. This behavior qualitatively mirrors the plateauing of crystalline index with increased elongation.

As a reference, consider how the alignment of the CNTs compares to that of independent rigid rods. Ono et al. [26] showed that for uniaxial symmetry, the angle between a fiber axis and the deformation direction,  $\phi$ , changes to  $\phi'$  for a additional strain increment  $\Delta \varepsilon$  according to:

$$\varphi' = \tan^{-1} \left[ \frac{1 - \nu_{13} \Delta \varepsilon}{1 + \Delta \varepsilon} \tan \varphi \right]$$
(4)

where  $\nu_{13}$  is Poisson's ratio. From an initial random (uniform) distribution of  $\phi$ , sequential iteration using Eq. (4) and the expression for the Hermans orientation parameter (Eq. (2)) enables the determination of  $S_d(\varepsilon)$ :

$$S_{\rm d} = a \big[ 1 - \exp(-b \times \varepsilon) \big] \tag{5}$$

where a=1 and b=0.5 corresponds to the upper bound limit derived from the kinematic model for an incompressible medium ( $v_{13}=0.5$ ). A random distribution of rods cannot be aligned perfectly unless elongation ratios greater than 8 are used, which is well known in fiber spinning of LC polymers.

The CNT alignment in these elastomers deviates from the kinematic description at all deformations (Fig. 13) including below the onset of incompressibility ( $\lambda$ =2.5). The general trend though is captured by the functional form of Eq. (5) (exponential rise to a maximum) with coefficients of a =0.61 and b=0.27. Deviations from the ideal coefficients probably arise from the finite flexibility of the bamboo-like CNTs and a CNT concentration that is in excess of  $\phi_{\rm C}$  as well as the presence of the relatively rigid crystalline phase and physically cross-linked medium.

## 4. Discussion

The framework to understand rubber elasticity at small deformations was established in the 1940–50 s by pioneers such as Treloar, Meyer and Flory [27]. The 'affine' deformation (components of vector length or end-to-end distance of each chain is changed in the same ratio as the corresponding dimensions of the bulk rubber) of a network of Gaussian chains can be equivalently understood from the perspective of thermodynamic elasticity [28] or strain invariants, such as storable elastic energy [29]. Starting from this foundation, the impact of various complexities, such as filler content, cross-link fluctuation, non-affine deformation (Phantom network theory [30]), distribution in cross-link functionality, chain constraints and free chains, can be discussed. To establish a connection to these previous efforts and begin to quantitatively understand the unique impact of nanoscale, high aspect fillers, on thermoplastic elastomers, it is instructive to compare the experimental data to these existing frameworks.

The strain invariant approach of Mooney-Rivlin, extensively utilized for filled rubbers, provides a straightforward approach to examine the deviation of a complex elastomeric system from ideality. The stress ( $\sigma$ , here the engineering stress)—elongation ratio ( $\lambda$ ) relationship is expressed as:

$$\sigma = 2\left(C_1 - \frac{C_2}{\lambda}\right)(\lambda - \lambda^{-2}) \tag{6}$$

where  $\lambda = L/L_0 = \varepsilon + 1$ , and C<sub>1</sub> and C<sub>2</sub> are constants reflecting characteristics of the network. C<sub>2</sub> represents the non-Gaussian aspects of the network, such as physically (unstable) crosslinks. When C<sub>2</sub>=0, 2C<sub>1</sub>=G, the shear modulus and Eq. (6) reduces to the well-known expression for deformation of a Gaussian network [27].

Fig. 14a depicts the effective modulus  $(\sigma/2(\lambda-\lambda^{-2}))$  of the CNT-thermoplastic elastomers with respect to the



Fig. 14. a) Mooney–Rivlin plot of CNT/PU nanocomposites. b) Reduced Mooney–Rivlin plot showing scaling behavior of CNT/PU nanocomposites. Shift factors,  $A_i$  obtained by a best overlap of curves in Fig. 14(a) are reported in Fig. 16.

inverse elongation ratio,  $\lambda^{-1}$ . Affine deformation of an ideal Gaussian network (C<sub>2</sub>=0) would appear as a horizontal line. The pure thermoplastic elastomer, Morthane, exhibits substantial non-ideality during deformation, attributed to meta-stability of physical crosslinks, complex morphology of hard-segment crystallites and strain-induced crystallization of soft-segments. Previous studies have revealed that the 10 s of nanometer domains arising from phase separation of hard and soft-segments evolve with deformation. This evolution of morphology is thought to be the primary source of hysteresis and cyclic softening.

As the CNT loading increases the non-ideal behavior increases, especially at low deformation. After yield though (Morthane:  $\lambda \sim 1.2$ ; nanocomposites:  $\lambda \sim 1.1-1.15$ ) (see Fig. 4b), the relative behavior of the nanocomposites is similar, irrespective of CNT loading.

Flandin et al. observed similar results for the deformation of carbon-black containing elastomers and rationalized a modulus scaling factor to superimpose curves based on a hydrodynamic perspective, where the effective modulus at any deformation depends only on the amount of filler.

Following Flandin et al. [31]. Fig. 14b emphasizes the similarity between all the systems above yielding; implying that the mechanistics of deformation beyond the yield point is dominated by the elastomer, with minimal impact from the CNTs. Also notable in Fig. 14b is the appearance of a threshold volume fraction at which all nanocomposites behave identical over the entire deformation range. Below 2.3 vol% the reduced Mooney–Rivlin curves deviate from this trend. In addition to CNT loading, the initial softsegment crystallite content also changes between 0 and 5 vol% CNT and may contribute to this behavior.

## 4.1. Initial modulus

Numerous phenomenological ('spring and dash pot') and hydrodynamic based models have been developed to describe the impact of fillers on a rubber modulus. Fundamentally, the simplest models directly derived from morphological characteristics of the system are those processed by Guth and Simha in 1938 [32] and Smallwood in 1945 [33]. The key contribution to reinforcement in these models is the concept of 'hydrodynamic' [34,33] or 'strain' [35] amplification in which the rubber surrounding a rigid inclusion expresses (experiences) a local microscopic intrinsic strain,  $\varepsilon_0$ , that is in excess of the macroscopic external strain,  $\varepsilon$ , of the sample by a factor  $X = \varepsilon_0/\varepsilon$ , the stress amplification factor. A number of methods have been applied to describe the relative increases in modulus of filled elastomers either by theory [36-39] or by computer simulation with finite element codes [40,41]. The basic assumption is that all interactions between contiguous sections of a material are localized at the surface of separation.

A first order model to describe reinforcement of filled rubbers with anisotropic particles is the semi-empirical Guth [34] model with

$$E/E_0 = 1 + 0.67g_f\phi + 1.62g_f^2\phi^2 \tag{7}$$

where *E* is the modulus of the composite;  $E_0$  is the modulus of the neat matrix elastomer;  $\phi$  is the volume fraction of the filler; and  $g_f$  is the aspect ratio of the filler. This expression has subsequently been refined by numerous researchers [37]. Boyce provides a comparison of previous models [41]. Additionally, recent efforts by Boyce et al. qualitatively demonstrated inhomogeneous strain distribution in a twodimensional model of uniaxially aligned rods in a soft matrix [47].

The relative initial moduli,  $E/E_o$  for various CNT/PU nanocomposites are plotted in Fig. 15a. Three batches of CNT/PU are displayed that differ in their thermal history and demonstrates the influence of thermal annealing at room temperature on mechanical properties of the CNT/PU system. To compare to classic carbon-black filled



Fig. 15. Comparison of relative initial moduli of nanocomposites (E = modulus of nanocomposite,  $E_m$  = modulus of polymer matrix,  $E_f$  = modulus of filler). For reference, the upper- and lower bounds to modulus enhancement derived from axial (Voigt model) and transverse (Reuss model) loading of an infinite, uniaxial arrangement of reinforcement and filler with a moduli ratio of 100 and 500 are included [56]. a) Comparison of three batches of CNT/PU nanocomposites (differing in their thermal history) to other nanocomposite elastomers, previously reported data from layered silicate–elastomer nanocomposites [57–61]. b) Comparison to classic carbon-black filled elastomers with data after Boyce et al. [41]. Note that the Guth–Gold relationship for CB data is included for comparison to the modulus enhancement of nanocomposite systems.

elastomers, data after Boyce et al. [41] are included in Fig. 15b. Additionally, to compare the CNT/PU nanocomposites to other nanocomposite elastomers, previously reported data from layered silicate–elastomer nanocomposites are included in Fig. 15a. For reference, the upper- and lower bounds to modulus enhancement derived from axial (Voigt model) and transverse (Reuss model) loading of an infinite, uniaxial arrangement of reinforcement and filler with a moduli ratio of 100 are also included [42].

The relative initial moduli of elastomers containing traditional fillers cluster along a trend line with increasing volume fraction of filler. Boyce and co-workers demonstrated that many of the hydrodynamic models, such as Guth–Gold or Sheng–Boyce [47], can capture this general trend to the first order irrespective of the chemical and morphological details of the system. Positive deviations (higher relative modulus) are normally attributed to effective filler particles with slight aspect ratios ( $g_f = 2-5$ ). With respect to the initial

reinforcement, this emphasizes the critical role of volume fraction and the relative moduli of the filler,  $E_{\rm f}$ , to that of the matrix,  $E_{\rm m}$ , over specific chemical details.

The substantially greater aspect ratio of the nanounits results in larger relative initial modulus at lower volume fractions in Fig. 15a. In the same manner as classic fillers, the relative initial moduli for these elastomeric nanocomposites also cluster, irrespective of specific details of the nanoparticle, morphology or elastomer (e.g. crystalline content, crosslink density). The tensile modulus,  $E_1$ , of montmorillonite has been estimated at ~ 230 GPa [43] and of CNT used herein at ~ 600 GPa [44]. Aspect ratios for an individual montmorillonite layer were around 100 [45] and for the CNTs were in excess of 100 (CNT diameter ~100 nm, length > 10 µm) [4]. Overall this clustering implies that aspect ratio is the most critical factor for reinforcement of elastomers by nanoparticles that are many orders of magnitude stiffer than the matrix. The impact of increased aspect ratio asymptotically approaches continuous reinforcement as stress-transfer enables complete utilization of the stiffness of a larger fraction of the reinforcement [46]. Comparably, extreme differences between filler and matrix modulus minimizes the ability to fully capitalize on additional increases in filler stiffness [47] and thus utilization of fillers with moduli,  $E_{\rm f}$ , that differ by 2–3 times but are 100 s of times more rigid than the matrix,  $E_{\rm m}$ , yield comparable reinforcement.

Although the experimental results can be described by the hydrodynamic models, the parameters derived do not correlate with the characteristics of a single nano-particle. For example, for the semi-empirical Guth [32] model, the trend represented by the cluster of nanocomposite data can be described with  $g_f=20-25$  and  $E_f/E_m=100$ -substantially lower than initially anticipated. Some of the data sets may imply greater aspect ratios at low volume fractions, but in general there is insufficient information at these very low loadings to yield reliable values. Overall, the experimental values are substantially less than what the Guth model would predict for aspect ratios or for relative moduli greater than 100.

Three, interrelated reasons associated with the actual distance between nanoparticles form the core of this discrepancy-realistic morphology, finite nanoparticle rigidity and particle-particle correlations. First, the available morphological characterization indicates that the distribution of nanoparticles in these nanocomposites are probably far from ideal, where ideal dispersion is defined when the mean-distance between individual particles equals the maximum possible distance between particles for a given volume fraction. This implies that on a meso- or micro-scale level concentration fluctuations of reinforcement particles occur, creating a hierarchical morphology and stress and strain distribution must be considered at multiple length scales. Additionally, the local correlations between these anisotropic particles, such as represented by a meso-scale domain where local orientation correlation of particles is high, even though the macroscopic, global orientation correlations are isotropic, may result in particles acting in concert-as a larger-scale effective reinforcement phase with a smaller effective aspect ratio.

Second, the nano-reinforcement is not infinitely rigid. Micrographs indicate that before deformation, the tubes and layers are bent and distorted within the nanocomposites. The initial aspect ratio will be smaller than the idealized end-to-end distance. Since these nanoparticles do not have isotropic moduli–the transverse modulus ( $\sim$  56 GPa for montmorillonite [43] and  $\sim$  50 GPa for SWNT [48] and for MWNT [49]) is substantially less than the longitudinal modulus—less than optimal reinforcement may arise from a random arrangement of orthotropic reinforcing phase. The low buckling stress for high aspect ratio structures will also lead to particle distortions dependent on process history. This is especially true for the carbon–nanotubes examined in this study [50].

Finally, the hydrodynamic models are developed with respect to an isolated particle. As aspect ratio increases, the volume fraction decreases when particle-particle correlation occurs, for example through simple volume exclusion. Although the former issues cannot be disregarded, the volume fractions for the majority of the nanocomposite data are greater than the onset of particle-particle correlation or percolation thresholds, which for aspect ratios greater than 100 are roughly  $\phi_c \leq 0.001$  as delineated by simple excluded volume arguments [51,52]. Thus the loadings are generally contrary to the underlying assumptions of the hydrodynamic models, which presuppose an isolated rigid phase. In addition, the nanoscale dimensions imply that for a given volume fraction as nanofiller size decreases, the number density of filler particles and mean distance between particles decreases. The extreme number density associated with fully dispersed nanofillers result in diminishingly small distance between nanoparticles—comparable to the size of the nanoparticle at  $\phi = 0.01 - 0.1$ . Since the spatial decay of the interfacial stress and strain distribution in the soft phase at an interface with a rigid phase is invariant to the thickness of the rigid phase, these distributions will overlap leading to very inhomogeneous stress and strain distributions within the nanocomposites. Recent work [47] by Boyce et al. has demonstrated that for uniaxially aligned, high aspect ratio fillers, strain shielding associated with particle-particle spatial correlation develop. This leads to reinforcement by an effective-particle, where the load is distributed over a collection of rods. This leads to a reinforcing phase with lower effective modulus and lower aspect ratio than would be derived from consideration of only the fundamental rod unit.

# 4.2. Deformation

The inelastic, viscoelastic and failure modes of nanocomposites, as with traditional filled polymers, are substantially more complex to understand and correlate to generalized frameworks. Overall, the similarity between the pure Morthane and nanocomposite at deformations beyond the yield point imply that the presence of the CNTs do not alter the mechanistics of viscoelastic deformation. This is very surprising given the substantial morphological change, both for the content of soft-segment crystallites and the continual increase of CNT orientation with deformation as revealed by the X-ray scattering experiments. Following with hydrodynamic description of reinforcement, the inverse of the modulus scaling factor used to create the reduced Mooney-Rivlin analysis above (Fig. 14b) may be ostensibly analyzed in the same fashion discussed for the relative modulus enhancement, where the modulus scaling factor provides a measure of 'reinforcement' beyond yielding. Fig. 16 summarizes the modulus scaling factor and comparable analysis using Eq. (7) and following Flandin et al. yields an aspect ratio of only 9. This is substantially less than observed for the initial modulus and



Fig. 16. Shift factors  $A_i$  obtained from overlapping curves of the Mooney–Rivlin plot in Fig. 14 as a function of volume fraction  $\phi$ . Shift factors of CNT/PU nanocomposites are compared to CB data obtained by Flandin et al. [31]. Using Eq. (7) the modulus scaling factor A yields an aspect ratio of ~9.

what initially would be expected, since elongational deformation increases CNT alignment and thus directional reinforcement.

A likely explanation for this behavior is the poor interfacial bonding between the thermoplastic elastomer and CNT. Even though SEMs (Fig. 1) indicate good wetting of the matrix on the tube, after  $\lambda \sim 5$ , debonding and void formation may be reducing the impact of the CNT on the mechanisms of stress distribution and strain accommodation at high elongation. In support, Fig. 9 shows residual void formation within a 10 wt% (5.9 vol%) CNT nanocomposite elongated to  $\lambda = 5$  and then allowed to fully recover to a zero-stress state, resulting in a  $\sim 250\%$  permanent deformation ( $\lambda = 3.5$ ). As a final note, the impact of the filler on the local dynamics of the matrix may also play a role in the relative modulus enhancement and deformation behavior, especially as the matrix-filler interfacial area increases as reinforcement size decreases. For example, the effect of constrained entropy (trapping of entanglements and the effects on matrix chain mobility) derived from polymernanoparticle interaction proposed by Sternstein and co workers [53,54] may play an important role in nanocomposite reinforcement, especially when discussed with regards to cyclic deformation [55] (not addressed herein).

Overall, substantially more experimental and theoretical efforts are required to establish generality of the cluster of the relative moduli of elastomeric nanocomposites and to provide a fundamental understanding of the contributing factors.

## 5. Conclusion

In summary, a complex interplay between nucleation and strain induced crystallization, polymer crystallite orientation and tube alignment underlie the reinforcing effect of multi-wall CNT on Morthane. Incorporation of as little as 2.9 vol% of CNTs into the thermoplastic polyurethane increases yield stress, stress at break and modulus, without loosing the ability to stretch the elastomer above 1000%. These properties are influenced by a strain-induced crystallization of the soft-segments of the PU, which leads to a complex synergism in the mechanical reinforcement of PU nanocomposites. This synergism also carries over to the alignment of CNTs during deformation. The alignment of soft-segment crystallites is enhanced at low deformations  $(\lambda < 2)$  in the presence of CNTs and is suppressed at higher elongations ( $\lambda$  > 3–4). CNT orientation ( $S_d \sim 0.5$ ) is lower than that of the polymer crystallites ( $S_d \sim 0.8$ ) and is not influenced by an increase in CNT concentration within the examined volume fraction range. A general clustering of modulus values is observed irrespective of polymer details. This indicates that more comprehensive considerations are required with respect to the application of first order models to define 'ultimate possibilities'. Viscoelastic deformation after yielding is similar for the CNT/PU nanocomposites and pure PU, indicating that the mechanism of deformation beyond the yield point is governed by the polymer matrix.

Overall, uniaxial elongation in combination with in situ X-ray studies indicate that nanotube orientation and polymer deformation are coupled, altering the strain induced soft-segment crystallinity and the mechanical response of the nanocomposites at increasing strain. The complex interrelation implies that the extensive characterization discussed herein is necessary, but still not sufficient to effectively establish deformation–morphology relationships for nanotube containing elastomers, especially with respect to failure and yielding phenomena.

## Acknowledgements

The authors are very grateful for the insightful discussions with Chyi-Shan Wang (University of Dayton Research Institute) and Mary Boyce (MIT). We also thank Ben Hsiao (SUNY Stony Brook) and Fengji Jeh (Advanced Polymer Beamline (X27C) at BNLS). The Advanced Polymer Beamline (X27C) is supported by DOE (DE-FG02-99ER 45760). The Air Force Office of Scientific Research and the Air Force Research Laboratory, Materials and Manufacturing Directorate, provided funding.

## References

- Ray SS, Okamoto M. Polymer/layered silicate nanocomposites: a review from preparation to processing. Prog Polym Sci 2003;28: 1539–641. Vaia RA, Kishnamoorti R, editors. Polymer nanocomposites, vol. 804. Washington, DC: American Chemical Society; 2001.
- [2] Qian D, Dickey EC, Andrews R, Rantell T. Load transfer and deformation mechanisms in carbon nanotube–polymer composites. Appl Phys Lett 2000;76:2868.
- [3] Jin L, Bower C, Zhou O. Alignment of carbon nanotubes in a polymer matrix by mechanical stretching. Appl Phys Lett 1998;73(9):1197–9.
- [4] Koerner H, Price G, Pearce NA, Alexander MD, Vaia RA. Remotely actuated polymer nanocomposites–stress-recovery of carbon-nanotube-filled thermoplastic elastomers. Nat Mater 2004;3:115–20.
- [5] Stéphan C, Nguyen TP, Lefrant S, Vaccarini L, Bernier P. Electrical properties of singlewalled carbon nanotubes-PMMA composites. AIP Conference Proceedings. vol. 544(1) 2000. pp. 363–6.
- [6] Kim Bumsuk, Lee Jongjin, Yu Insuk. Electrical properties of singlewall carbon nanotube and epoxy composites. J Appl Phys 2003; 94(10):6724–8.
- [7] M. Tanaka, J. Zhang, T. Matsumoto, A. Guzik, Transactions of JASCOME, vol. 3 (July 2003), Paper No. 03-062009.
- [8] Biercuk MJ, Llaguno MC, Radosavljevic M, Hyun JK, Johnson AT, Fischer E. Carbon nanotube composites for thermal management. Appl Phys Lett 2002;80(15):2767–9.
- [9] US Patent # 6,680,016.
- [10] Croasmun WR, Carlson MK, editors. Two-dimensional NMR. Applications for chemists and biochemists. New York: VCH Publishers, Inc.; 1994.
- [11] Stauffer D, Aharony A. Introduction to percolation theory. 2nd ed. London: Taylor and Francis; 1992.
- [12] Heaney MB. Measurement and interaction of nonuniversal critical exponents in disordered conductor-insulator composites. Phys Rev B 1995;52:477–80.
- [13] Flandin L, Brechet Y, Cavaille J-Y. Electrically conductive polymer nanocomposites as deformation sensors. Compos Sci Technol 2001; 61:895–901.
- [14] ASI data sheet on PRT-HT-19.
- [15] Thess A, Lee R, Nikolaev P, Dai H, Petit P, Robert J, et al. Science 1996;273:483.
- [16] Pinniavaia TJ, Beall GW, editors. Polymer-clay composites. New York: Wiley; 2001.
- [17] Fu BX, Hsiao BS, Pagola S, Stephens P, White H, Rafailovich M, et al. Structural development during deformation of polyurethane containing polyhedral oligomeric silsesquioxanes (POSS) molecules. Polymer 2001;42:599–611.
- [18] Hammersley, A.P., ESRF Internal, Report, ESRF97HA02F, Fit2D: an introduction and overview, 1997Hammersley AP, Svensson SO, Hanfland M, Fitch AN, Haeusermann D. Two-dimensional detector software: from real detector to idealised image or two-theta scan. High Pressure Research 1996;14:235–48.
- [19] Alexander LE. X-ray diffraction methods in polymer science. NY: Robert E. Kereger Publishing Company; 1979.

- [20] Abouzahr S, Ophir Z, Wilkes GL. Polymer 1982;23:1077. Huang S, Lai J. Eur Polym J 1997;33(10–12):1563–7. Kim HD, Huh JH, Kim EY, Park CC. J Appl Polym Sci 1998;69:1349–55.
- [21] McLean RS, Sauer BB. Nano-deformation of crystalline domains during tensile stretching studied by atomic force microscopy. J Polym Sci, Part B: Polym Phys 1999;37:859–66.
- [22] Ward IM. Mechanical properties of solid polymers. New York: Wiley; 1971. p. 253.
- [23] Grady BP, Pompeo F, Shambaugh RL, Resasco DE. J Phys Chem B 2002;106:5852.
- [24] Bhattacharyya AR, Sreekumar TV, Liu T, Kumar S, Ericson LM, Hauge RH, et al. Polymer 2003;44:2373–7.
- [25] Lincoln DM, Vaia RA, Krishnamoorti R. Macromolecules 2004; 37(12):4554–61.
- [26] Taya M, Kim WJ, Ono K. Mech Mater 1998;28:53.
- [27] Treloar LRG. The physics of rubber elasticity. Oxford: Clarendon Press; 1958.P. Flory, Principles of polymer chemistry, 153 (Cornell) Mayer, Susich v, Valko. Kolloidzschr. 59208.
- [28] Krigbaum WR, Roe R-J. Rubber Chem Technol 1965;38:1039-69.
- [29] Ferry JD. Viscoelastic properties of polymers. 3rd ed. New York: Wiley; 1980. p. 571.
- [30] Farago O, Kantor Y. Entropic elasticity of phantom percolation networks. Europhys Lett 2000;52:413–9.
- [31] Flandin L, Chang A, Nazarenko A, Hiltner E, Baer E. J Appl Polym Sci 2000;76:894–905.
- [32] Guth E, Simha R. Kolloid-Z 1936;74:266–75. Guth E, Gold O. Phys Rev 1938;53:322.
- [33] Smallwood HM. J Appl Phys 1944;15:758-66.
- [34] Guth E. J Appl Phys 1945;16:20.
- [35] Mullins L, Tobin NR. J Appl Polym Sci 1965;9:2993-3009.
- [36] Jhon MS, Metz RJ, Freed KF. J Stat Phys 1988;52:1325.
- [37] Ponte P. Castaneda. Proc R Soc Lond A 1989;422:147-71.
- [38] Halpin JC. J Compos Mater 1969;3:732–4. Halpin JC, Kardos JL. Polym Eng Sci 1976;16:344–52.
- [39] (a) Taya M, Kim WJ, Ono K. Mech Mater 1998;28:53.
  (b) Taya M, Kim WJ. In: Inoue K, Shen SIY, Taya M, editors. US– Japan workshop on smart materials and structures. The Minerals, Metals Materials Society; 1997. p. 243.
- [40] Gusev AA. J Mech Phys Solids 1997;45:1449.
- [41] Bergstrom JS, Boyce MC. Rubber Chem Technol 1999;72:633–56. Bergstrom JS, Boyce MC. Mech Mater 2000;32:627–44.
- [42] Reuss A. Z Angew Math Mech. 949. Voigt W. Lehrbuch der kristallphysik. Leipzig: Teubner; 1928. p. 410. Ward IM. Mechanical properties of solid polymers. New York: Wiley; 1971. p. 255ff.
- [43] Manevitch OL, Rutledge GC. J Phys Chem B 2004;108:1428–35. Bulk modulus for mica, in-plane modulus of a single montmorillonite sheet is estimated to be 420 GPa) of the montmorillonite 56 GPa (experimental bulk modulus for muscovite, 390 GPa simulated for a single MMT sheet).
- [44] ASI Company information, data of a single multi-wall tube.
- [45] Koerner H, Jacobs D, Tomlin D, Busbee J, Vaia R. Adv Mater 2004; 16:297–302.
- [46] Fornes TD, Paul DR. Polymer 2003;44:4993-5013.
- [47] Sheng N, Boyce MC, Parks DM, Rutledge GC, Abes JI, Cohen RE. Multiscale micromechanical modeling of polymer/clay nanocomposites and the effective clay particle. Polymer 2004;45:487–506.
- [48] Popov VN, Van Doren VE, Balkanski M. Elastic properties of crystals of single-walled carbon nanotubes. Solid State Commun 2000;114: 395–9.
- [49] Yakobson BI, Avouris Ph. Mechanical properties of carbon nanotubes, chap. 9. In: Dresselhaus MS, Avouris Ph, editors. Carbon nanotubes, vol. 287–329. Berlin-Heidelberg: Springer Verlag; 2001. p. 297.
- [50] (a) Hertel T, Martel R, Avouris Ph. J Phys Chem B 1998;102:910.
  (b) Falvo MR, Clary GJ, Taylor RM, Chi V, Brooks FP, Washburn S, et al. Nature 1997;389:582.
- [51] Kilbride BE, Coleman JN, Fraysse J, Fournet P, Cadek M, Drury A,

et al. Experimental observation of scaling laws for alternating current and direct current conductivity in polymer–carbon nanotube composite thin films. J Appl Phys 2002;92(7):4024–30.

- [52] Ramasubramaniam Rajagopal, Chen Jian, Liu Haiying. Homogeneous carbon nanotube/polymer composites for electrical applications. Appl Phys Lett 2003;83(14):2928–30.
- [53] Zhu Ai-Jun, Sternstein SS. Nonlinear viscoelasticity of nanofilled polymers: interfaces, chain statistics and properties recovery kinetics. Compos Sci Technol 2003;63(8):1113–26.
- [54] Sternstein SS, Zhu Ai-Jun. Reinforcement mechanism of nanofilled polymer melts as elucidated by nonlinear viscoelastic behavior. Macromolecules 2002;35(19):7262–73.
- [55] Qi HJ, Boyce MC. Constitutive model for stretch-induced softening of the stress-stretch behavior of elastomeric materials. J Mech Phys Solids, Submitted for publication.

- [56] Reuss A. Z Angew Math Mech. 949. Voigt W. Lehrbuch der kristallphysik. Leipzig: Teubner; 1928. p. 410. Ward IM. Mechanical properties of solid polymers. New York: Wiley; 1971. p. 255ff.
- [57] Burnside SD, Giannelis EP. Nanostructure and properties of polysiloxane-layered silicate nanocomposites. J Polym Sci Part B Polym Phys 2000;38:1595–604.
- [58] LeBaron PC, Pinnavaia TJ. Clay nanolayer reinforcement of a silicone elastomer. Chem Mater 2001;13(10):3760–5.
- [59] Wang Z, Pinnavaia TJ. Nanolayer reinforcement of elastomeric polyurethane. Chem Mater 1998;10:3769–71.
- [60] Wang Z, Baron PC et al. Nanolayer reinforcement of thermoset polymers, Additives 1999, International Conference, 8th, San Francisco, March 22–24, 1999, Paper 10/1-Paper 10/9.
- [61] Shi H, Lan T, et al. Interfacial Effects on the reinforcement properties of polymer–organoclay nanocomposites. Chem Mater 1996;8:1584–7.